

# 1

## Introduction

### 1.1 Preface

The growing importance of colour science in the manufacturing industry has resulted in the availability of many excellent text books: existing texts describe the history and development of the CIE system (Wyszecki and Stiles, 1982; Hunt, 1998; Ohta and Robertson, 2005; Schanda, 2007; Hunt and Pointer, 2011), the prediction of colour difference (McDonald, 1997a; Berns, 2000; Luo, 2002a) and colour appearance (Fairchild, 2005), the relationship of the CIE system to the human visual system (Wandell, 1995; Kaiser and Boynton, 1996), and applications of colour science in technology (Green and MacDonald, 2002; Green, 2010). However, the field of colour science is becoming ever more technical and although practitioners need to understand the theory and practice of colour science they also need guidance on how to actually compute the various metrics, indices and coordinates that are useful to the practicing colour scientist. *Computational Colour Science Using MATLAB*<sup>®</sup> was published to address this specific need (Westland and Ripamonti, 2004). It described methods and algorithms for actually computing colorimetric parameters and for carrying out applications such as device characterisation, transformations between colour spaces and computation of various indices such as colour differences. There are a number of reasons why a second edition has now been published. Firstly, the last decade has seen a number of developments that are important but which were not included in the first edition; secondly, some notable topics were omitted from the first edition and are now included as additional chapters in this edition; thirdly the toolbox was originally written to emphasise clarity (for teaching purposes) but somewhat at the expense of performance (the authors now feel that a better balance between clarity and performance can be achieved and therefore all of the MATLAB<sup>®</sup> code has been rewritten); fourthly, the presentation of the text has been rewritten to provide a more

logical and consistent presentation; fifthly, the comprehensive use of colour throughout the second edition provides opportunities to include topics that were more difficult to include in the first edition.

In preparing this edition, and the previous edition, a difficult decision was required on what level of existing knowledge we assume the reader has. However, this book is not intended as a primer for colorimetry and the CIE system. It is clear that a number of excellent texts that address this purpose already exist. Therefore, we assume a reasonable understanding of the main principles of the CIE system of colorimetry although a brief revision aid is provided for those readers who may find this useful. Readers who wish to explore the theoretical and historical backgrounds of the topics covered by this book are encouraged to review the alternative texts mentioned above and referred to within this text. We have written this book primarily for master's and doctoral students undertaking research in colour science since this is the book that we would have liked to have had access to when we undertook our own doctoral research. However, we are confident that computer programmers, colour-image engineers and academics will find this book and the associated MATLAB<sup>®</sup> code useful.

Finally, we note that the term *colour science* could be defined quite broadly to include topics such as colour chemistry, materials science, imaging science and a myriad of industrial applications that involve colour. Our definition of colour science is the *perception, measurement and communication of colour*.

### 1.2 Why Base this Book on MATLAB<sup>®</sup>?

This book describes algorithms and mathematical procedures in colour science and illustrates these procedures using the numerical software tool called MATLAB<sup>®</sup>. MATLAB<sup>®</sup> provides several features that make it suitable for the implementation of algorithms in general and colour-science algorithms in particular, and results in code that is easily understandable by readers even if they have relatively little experience of writing software. These features include the use of operations upon vectors and matrices to enable compact code that avoids the excessive use of looping procedures, the provision of a massive library of functions that the MATLAB<sup>®</sup> programmer can call upon, and the ease of use of graphics functions to enable the user to easily and effectively visualise complex data structures.

Most computer languages are very dependent upon a variety of 'looping' procedures to execute summations or to implement iterative techniques whereas MATLAB<sup>®</sup> enables these types of operations to be performed with a fraction of the code that would otherwise be required. For example, if we have two variables  $x$  and  $y$  that each consist of five entries and we wish to compute the product of the corresponding entries and then sum the results to yield a single number, we might write code that in BASIC looks like the following:

```
sum = 0
FOR i = 1 TO 5
sum = sum + x(i)*y(i)
NEXT i
```

In MATLAB® these four lines of BASIC code shown could be written as:

```
sum = 0
for i = 1:5
sum = sum + x(i)*y(i)
end
```

Note the small differences in syntax between the two languages. However, in MATLAB® we can also use the elegant equivalent code thus:

```
sum = x*y;
```

Expressed in terms of linear algebra MATLAB® will perform the inner product of the  $1 \times 5$  row vector  $\mathbf{x}$  and the  $5 \times 1$  column vector  $\mathbf{y}$ . In the MATLAB® environment it is not necessary to specify how many entries the variables contain, as long as the dimensions of these variables define a valid matrix operation (in this case the row vector needs as many entries as the column vector). A variable in MATLAB® can represent a single number, a row or column vector, or a matrix (or array, as matrices are sometimes called). The operation given, for example, by:

```
y = 2*x;
```

will assign to  $\mathbf{y}$  twice the value of  $\mathbf{x}$  if  $\mathbf{x}$  defines a single number, but twice the value of every element in  $\mathbf{x}$  if  $\mathbf{x}$  is a vector or a matrix. The compact nature of MATLAB® code allows complex and sophisticated algorithms to be explained and demonstrated with clarity and accuracy. Moreover, the computation of many colorimetric terms is ideally suited to a language that expresses variables in terms of matrices and vectors. For example, the calculation of CIE tristimulus values is essentially the inner product of certain matrices (typically the inner product of a  $1 \times 31$  row vector with a  $31 \times 3$  matrix when the calculations are being carried out at 31 wavelength intervals, as is common). Some procedures are best explained or implemented using loops, however, and for these situations MATLAB® does provide *for* and *while* looping structures which work broadly in a way that will be familiar to programmers who are used to languages such as C or BASIC.

The second strength of MATLAB® is that it includes an encyclopaedic collection of subprograms, called M-files, for the solution of nearly any numerical problem. Although this book is not principally concerned with generic numerical analysis, but rather with particular colorimetric algorithms, the M-files that are available with MATLAB® are useful for many computations in colour science. MATLAB® provides many functions (such as those with the ability to solve systems of simultaneous linear equations) and if it was necessary to spend time explaining these in detail or writing code to implement them

it would detract from the main focus of this book which is colour science. Readers may wish to refer to other text books (e.g. Press *et al.*, 1993) that address implementations of numerical analysis in programming languages such as C if they wish to convert the code in this book into other programming languages.

Perhaps MATLAB's<sup>®</sup> most spectacular feature is its capability to display graphics. Two- or three-dimensional graphs are easily constructed by even a novice MATLAB<sup>®</sup> user. Thus:

```
x = [1 2 3 4 5];  
y = [3 5 7 9 11];  
plot(x,y)
```

is sufficient code to plot a graph of the five values in the vector **y** against those in the vector **x**. Experienced programmers will find it trivial to construct sophisticated and informative graphs and the ability to almost effortlessly visualise data is one of the main advantages of using MATLAB<sup>®</sup> in a research environment. MATLAB<sup>®</sup> allows the user to answer complex 'what if?' questions with just a handful of code lines. MATLAB<sup>®</sup> is therefore an ideal experimental or prototyping language even if it lacks the run-time speed of some other languages such as C.

MATLAB<sup>®</sup> can be confusing, however, for users new to programming or who do not have a reasonable understanding of linear algebra. For this reason, Chapter 3 provides a gentle introduction to MATLAB<sup>®</sup> and Chapter 2 provides a basic introduction to linear algebra and the notation that is used throughout this book. Where possible, the code that is presented has been written for clarity rather than for efficiency or speed of computation to allow readers to understand the computational principles involved and to be able to implement them in a wide variety of programming languages. In general, special MATLAB<sup>®</sup> commands have been avoided, even though their use may have made the code more efficient, to reduce the effort that would be required to translate the code into a language such as C or C++. One exception, however, is the backslash operator, which is described in Chapter 3. Programmers who wish to use languages other than MATLAB<sup>®</sup> may wish to create their own version of the backslash operator in order to easily translate the code within this book. All of the MATLAB<sup>®</sup> code contained within this book can be downloaded from the MATLAB<sup>®</sup> website: [www.mathworks.com/matlabcentral/](http://www.mathworks.com/matlabcentral/).

### 1.3 A Brief Review of the CIE System of Colorimetry

Light is a term that we use to describe electromagnetic radiation, in the approximate wavelength range 360–780 nm, to which the human visual system is sensitive. When we observe the light reflected from surfaces in a scene, or when we look directly at the light emitted by light sources, we experience the sensation of colour. Colour is just one attribute of a complex and not fully understood set of properties that define the appearance of the world. Surfaces interact with light in a complex and varied way that includes processes of absorption, scattering, refraction and diffraction but it is the light that is reflected by the surfaces in a scene that we use to identify those surfaces by

their colour. The reflectance properties of surfaces can be defined by spectral reflectance factors that are normally measured at regular intervals in the visible spectrum of radiation. The reflectance factor of an object at a certain wavelength (or wavelength interval) is the proportion of light at that wavelength that is reflected by the object and is never less than zero and only occasionally greater than unity. The term *surface reflectance factor* is used by some authors but this is somewhat confusing since it could imply that the light is reflected at the air/material surface of the object. Although a small amount of light (typically about 4% for inks and paints) is reflected at the surface, the majority of reflected light results from scattering processes that occur within the body of an object after the light has passed through the air/material surface. Commercially available reflectance spectrophotometers are able to measure reflectance factors (typically at intervals of 10 nm in the range 400–700 nm though some instruments extend their measurements to shorter or longer wavelengths). The quantity and quality of light that we see when we look at a point in a scene clearly depends upon the spectral power distribution of the illuminating source and the reflectance properties of the scene at that point. Our visual systems detect the reflected light using the light-sensitive sense organs or retinas that form the inner lining of the back of the eyeball. Light enters the eye through the pupil and is focused onto the retina by the lens. The retina consists of a mosaic of specialised cells called rods and cones that contain pigments that respond to light. The chemical changes that take place when the visual pigments in the rods and cones absorb light initiate electrochemical impulses that are subsequently processed by a neural network of brain cells and which eventually lead to the excitation of cells in various specialised areas of the outer region of the brain known as the cortex. It is still unknown where in the brain colour perception actually occurs, if indeed it occurs in any localised area, but activity in the visual cortex at the back of the brain (the occipital lobe) is strongly implicated. In Chapter 7, we will examine the properties of the visual system in more detail, as we discuss the way cone responses combine their outputs into post-receptor channels and how to compute both receptor and post-receptor responses. In the present section, we provide only a minimal summary of the retinal processes, before methods for the measurement of colour are outlined.

Human vision is mediated exclusively by rods at low levels of illumination (below  $0.001 \text{ cd/m}^2$ ) also referred to as scotopic or night vision. At medium or mesopic levels of illumination (between  $0.001 - 10 \text{ cd/m}^2$ ) both rods and cones can contribute to vision. At higher or photopic levels of illumination (above  $10 \text{ cd/m}^2$ ), vision is mediated solely by the responses of the cones of which there are three types with sensitivities peaking at 420 nm (short wavelengths), 530 nm (medium wavelengths) and 560 nm (long wavelengths), termed S, M and L cones respectively (Bowmaker, 2002). The three classes of cones are not distributed evenly throughout the retina (Williams *et al.*, 1981). For example, the central or foveal region is occupied predominantly by L and M cones and there are approximately twice as many L cones as there are M cones. The S cones are rare throughout the retina but are more concentrated in a ring around the fovea. The retina contains several layers of cells and the signals generated by the transduction of light into chemical and electrical energy in the cones activate the bipolar and ganglion cells before leaving the eye via the optic nerve. The cones and rods each provide a univariant response (Rushton, 1972; Wandell, 1995) and the consequence of this is that the individual classes of cones and rods are colour blind. That is, the scotopic visual system can

only perceive shades of grey and the responses of single photoreceptor types considered independently are also incapable of wavelength discrimination. At least two types of photoreceptor are required for colour vision. Under mesopic and photopic conditions, the visual system achieves colour vision by analysing the relative responses of at least two types of photoreceptor.

The Commission Internationale de l'Éclairage (CIE) developed a system for the specification of colour stimuli that was recommended for widespread use in 1931. Perhaps the most important step that allowed this development was the understanding of additive colour mixing. Thus, all colour stimuli can be matched by the additive mixture of three appropriately chosen primaries. In the 1920s it had long been recognised that the amounts or intensities of the primaries required to match a given stimulus effectively form a specification of the stimulus in terms of the primaries that are used. The amounts of the primaries used for any given stimulus are commonly known as the tristimulus values. It is possible to determine the tristimulus values for any given stimulus using a device known as a split-field or bipartite colorimeter. In such a device an observer views a bipartite field. On one side of the field the stimulus is displayed; on the other side the additive mixture of the three primaries is displayed. The observer adjusts the intensities of each of the three primaries until the additive mixture is visually indistinguishable from the stimulus. Under the matching condition the field appears uniform to the observer and the tristimulus values can be read off from the device and recorded.

The measurement of the colour-matching functions of observers was a critical feature in the development of the 1931 CIE system of colorimetry since they allowed the computation of the tristimulus values for a known stimulus without the need to view the stimulus in a bipartite colorimeter. The colour-matching functions are the amounts of three primaries required to match one unit of intensity of a single wavelength of light, and were recorded for small wavelength intervals throughout the visible spectrum. If red, green and blue primaries are used and these are denoted by the symbols [R], [G] and [B], and the tristimulus values are represented by the symbols  $R$ ,  $G$  and  $B$ , then it is possible to write an equation to denote the matching condition thus:

$$S \equiv R[R] + G[G] + B[B] \quad (1.1)$$

In this Equation the symbol  $\equiv$  means 'is matched by' and the stimulus that is being matched is denoted by the symbol  $S$ . If the tristimulus values are measured separately for each wavelength in the visible spectrum then we obtain the tristimulus values as functions of wavelength  $\lambda$  thus:  $R(\lambda)$ ,  $G(\lambda)$ , and  $B(\lambda)$ . These three functions of wavelength are called colour-matching functions. The additivity and linearity of colour matches allow an important property: if a stimulus  $S_1$  is matched by  $R_1$ ,  $G_1$ ,  $B_1$  and a stimulus  $S_2$  is matched by  $R_2$ ,  $G_2$ ,  $B_2$  then it is possible to predict in advance the tristimulus values that define a match to the stimulus defined by the additive mixture  $S_1 + S_2$ . Thus we can simply write:

$$S_1 + S_2 \equiv (R_1 + R_2)[R] + (G_1 + G_2)[G] + (B_1 + B_2)[B] \quad (1.2)$$

Since any real stimulus can be considered to be the sum of energy at many different wavelengths, it is possible to predict the tristimulus values for any stimulus in a similar way (without having to resort to physically determining a visual match for that stimulus using a bipartite colorimeter) given that the colour-matching functions are known.

In fact, experiments were carried out prior to the publication of the CIE system by two groups of workers headed by Wright in 1929 and Guild in 1931 (see Wyszecki and Stiles, 1982) to determine colour-matching functions. The two groups of workers used different primaries and consequently the two sets of colour-matching functions were different. This raises an interesting question of whether the colour-matching functions are arbitrary, given that there is a very wide choice in the selection of the primaries. Certainly, the actual tristimulus values obtained for a given stimulus are arbitrary in that they would be different if a different set of primaries was chosen. However, the matching condition is valid no matter which primaries are selected subject to some simple criteria (for example, the primaries must be independent; in other words, it must not be possible to match one of the primaries using an additive mixture of the other two). This means that if two stimuli are a visual match and are specified by the same tristimulus values under Guild's system, then the two stimuli would also match under Wright's system. Furthermore, the two stimuli would be a match under a system defined by any other set of three independent primaries.

It is possible to convert tristimulus values from one system to another by a simple linear transform (see Chapter 2). It is also possible to compute the colour-matching functions for a set of known primaries given the colour-matching functions of another set of primaries. Thus, in 1931, the CIE transformed the two sets of colour-matching functions obtained from experiments carried out by Wright and Guild into a single set of colour-matching functions and reassuringly found good agreement between the two sets of data. The CIE system as we know it today is based upon a transformation of the original colour-matching functions averaged from Guild and Wright to a set of primaries known as  $X$ ,  $Y$  and  $Z$ . The colour-matching functions are known for each wavelength and are therefore represented by  $\bar{x}(\lambda)$ ,  $\bar{y}(\lambda)$  and  $\bar{z}(\lambda)$ .

Although it is commonly stated that any colour can be matched by three primaries, this statement requires careful understanding. If we restrict ourselves to using three fixed (and real) primaries and if we only consider positive amounts of the three primaries then the statement is certainly false. It is impossible to select three real primaries and use these in additive mixture to match all possible colour stimuli. If the primaries are chosen carefully then a good proportion of the possible colours can be matched, but not all of them. Therefore, when Wright and Guild carried out their experiments they found that negative amounts of one of the primaries were sometimes required. Practically, this meant that in the split-field colorimeter one of the primaries would be added to the stimulus, the resultant additive mixture then being matched by the other two primaries. Under these conditions, when a negative amount of the red primary was being used, we could rewrite Equation 1.1 as:

$$S + R[R] \equiv G[G] + B[B] \quad (1.3)$$

or, its mathematical equivalent:

$$S \equiv -R[R] + G[G] + B[B] \quad (1.4)$$

from which the notion of negative amounts of a primary is apparent. The implication of this is that in the original colour-matching functions of Guild and Wright some of the entries were negative and some of the tristimulus values would be negative. For largely

pragmatic reasons, the CIE decided to transform the colour-matching functions to a set of primaries for which all of the entries would be positive and all of the tristimulus values would be positive for all real colours. This was achieved mathematically by defining a set of primaries ( $XYZ$ ) that were more saturated than any real colour. The CIE  $XYZ$  primaries are sometimes referred to as imaginary primaries since they cannot be realised by any real lights.

The CIE also defined standard illuminants – tables of spectral power distributions – that can be used to compute the colour signal for a surface given the spectral reflectance factor of the surface. The introduction of tables of illuminants allowed the computation of tristimulus values for surface colours as well as for self-luminous colours. A practical equation for computing the CIE 1931 tristimulus values for a surface with spectral reflectance  $P(\lambda)$  under an illuminant of relative spectral power  $E(\lambda)$  is:

$$\begin{aligned} X &= k \int E(\lambda)P(\lambda)\bar{x}(\lambda)d\lambda \\ Y &= k \int E(\lambda)P(\lambda)\bar{y}(\lambda)d\lambda \\ Z &= k \int E(\lambda)P(\lambda)\bar{z}(\lambda)d\lambda \end{aligned} \tag{1.5}$$

where  $k = 100 / (\int \bar{y}(\lambda)E(\lambda)d\lambda)$ .

At each wavelength interval the product  $E(\lambda)P(\lambda)$  gives the amount of energy in the stimulus at wavelength  $\lambda$  and the amount of the primary required to match this is given by multiplying this product by the colour-matching function at that wavelength. In order to arrive at the amount of the primary required to match the stimulus it is only necessary to sum across all wavelengths (Equation 1.5). Notice that the implication of the normalising factor  $k$  is that the *absolute* spectral power distribution for the illuminant is not required so that, for surface colours at least,  $Y = 100$  for a perfect white surface (for which  $P(\lambda) = 1$  for all  $\lambda$ ). Further, note that a perfect white surface will give  $Y = 100$  for *any* illuminant  $E$ . This normalisation is reasonable given the processes of adaptation that take place in our everyday vision. In order to appreciate these processes, imagine a piece of white paper with reflectance 1 at all wavelengths and a piece of black coal with reflectance 0.01 at all wavelengths. Now consider viewing these two surfaces indoors (under an equal-energy light source with 100 units of light at each wavelength) and outdoors (under an equal-energy light source with 10 000 units of light at each wavelength). When viewed indoors the paper reflects 100 units of light at each wavelength whereas the coal reflects only 1 unit of light at each wavelength, but the amount of light reflected outdoors is 10 000 and 100 for the paper and coal respectively. Even though the paper reflects 100 times as much light outdoors as it does indoors, the colour appearance of the paper remains approximately constant under the two light sources. More surprisingly, the coal reflects as much light outdoors as the paper does indoors and yet the coal is veridically seen as black. This remarkable property of colour constancy is central to our whole visual experience (the example just given is strictly lightness constancy but can be extended to consider colour appearances under illuminants that vary in colour as well as intensity). The normalising factor  $k$  in the CIE system ensures that for a perfectly white surface the  $Y$  tristimulus value will always be 100 irrespective of the quantity and quality of the illuminant. One consequence of this normalisation is that it is only necessary to know the relative energy of the illuminant at each wavelength in order to compute CIE  $XYZ$  values.

The CIE (1931) colour-matching functions were derived from *RGB* colour-matching experiments that used a bipartite field that subtended  $2^\circ$  (in terms of visual angle) at the retina. A second set of colour-matching functions were measured and introduced in 1964 using a larger field size of  $10^\circ$ . The 1931 and 1964 colour-matching functions are based on the same *XYZ* primaries but exhibit some marked differences. One reason for this is that the distribution of cones (the light-sensitive cells in the eye) is not uniform across the retina (Williams *et al.*, 1981). For example, it is known that there are no cones that contain short-wavelength-sensitive pigment in the central region of the retina known as the foveola. The present situation whereby there are two sets of colour-matching functions known as the 2-degree (1931) and the 10-degree (1964) standard observers has served the colour industry well over the last 70 years but is ultimately unsatisfactory. Users need to make a choice based upon which set of colour-matching functions best represents any given viewing situation. This presents problems from time to time when the size of the stimulus is not exactly  $2^\circ$  or  $10^\circ$ . The CIE is currently working towards the development of a set of colour-matching functions that vary continuously for a wide range of stimulus sizes.

The CIE *XYZ* tristimulus values specify a colour stimulus in terms of the visual system. It is often useful, however, to compute chromaticity coordinates  $xy$  from the tristimulus values thus:

$$\begin{aligned}x &= X/(X + Y + Z) \\y &= Y/(X + Y + Z)\end{aligned}\tag{1.6}$$

The chromaticity diagram is derived by plotting  $y$  against  $x$  and this provides a useful map of colour space. However, it should be noted that stimuli of identical chromaticity but different luminance are collapsed onto the same point in the 2D plane of the chromaticity diagram. One of the benefits of the chromaticity diagram is that, according to Grassman's law, additive mixtures of two primaries fall on a straight line joining the two points that represent the two primaries in the chromaticity diagram. If three primaries are used then the gamut of the additive system is given by a triangle, with the vertices defined by the chromaticities of the three primaries. The gamut of all physically realisable colours is contained by the convex shape of the spectral locus and a straight line that can be considered to be drawn between the two ends of the locus. It can readily be seen that this is so if one considers any real colour stimulus to consist of the additive sum of energy at individual wavelengths.

The CIE system of colorimetry is a system of colour specification. However, it has two limitations that it is important to understand. Firstly, the system was designed for colour specification rather than for colour appearance. The chromaticities of a perfect reflecting diffuser will change as the illumination changes. However, it has already been mentioned that the colour appearance of such a surface would be expected to remain approximately constant under quite large changes in illumination. Secondly, the system is perceptually nonuniform. For a given Euclidean distance between two points in *XYZ* space the magnitude of the perceptual colour difference between the two stimuli represented by those points can vary by an order of magnitude or more. This second limitation in particular has presented industrial practitioners of colorimetry with serious problems and even today these problems have not all been resolved. Although it is useful to be able to state that two stimuli are a visual match (under the strict conditions under

which the colour-matching functions were derived) if they have the same tristimulus values, it would perhaps be even more useful to be able to predict the visual difference between two stimuli whose tristimulus values are not identical. Ideally, we would like a uniform colour space in which equal distances in that space correspond to equal perceptual differences.

A major advance was made by the CIE in 1976 with the introduction of the CIELAB system of colour specification. This nonlinear transform of the XYZ values provided partial solutions to both the problems of colour appearance and colour difference. The transformation from tristimulus values to  $L^*a^*b^*$  coordinates is given by:

$$\begin{aligned} L^* &= 116(Y/Y_n)^{1/3} - 16 \\ a^* &= 500[(X/X_n)^{1/3} - (Y/Y_n)^{1/3}] \\ b^* &= 200[(Y/Y_n)^{1/3} - (Z/Z_n)^{1/3}] \end{aligned} \quad (1.7)$$

where  $X_n$ ,  $Y_n$  and  $Z_n$  are the tristimulus values of a specified white achromatic stimulus<sup>1</sup>.

CIELAB provides a three-dimensional colour space where the  $a^*$  and  $b^*$  axes form one plane and the Lightness  $L^*$  axis is orthogonal to this plane. The CIELAB transform is generally used for surface colours (a separate transform, CIELUV, was provided for use with self-luminous colour stimuli such as those generated using additive colour-reproduction devices) and includes several interesting features.

Firstly, the inclusion of difference signals crudely models processes that are believed to take place in the human visual system. Thus, whereas the retina initially captures responses derived from the cone spectral sensitivities, these responses are combined at an early (retinal) stage of visual processing to provide a luminance signal and two opponent signals that can be described as being yellow-blue and red-green. Similarly, CIELAB represents colour stimuli as an achromatic signal ( $L^*$ ) and two chromatic channels representing yellow-blue ( $b^*$ ) and red-green ( $a^*$ ).

Secondly, the normalisation by the illuminant achieves a colour space that makes better predictions of colour appearance than the tristimulus space from which it is derived (Hunt and Pointer, 2011). Thus, whereas the  $xy$  chromaticities of a perfect white surface vary with the illuminant, the CIELAB coordinates remain constant at  $L^* = 100$ ,  $a^* = b^* = 0$ . CIELAB also allows the representation of a colour stimulus by dimensions of lightness, chrome and hue and it is therefore reasonable to describe CIELAB as a colour-appearance space whereas this label is not appropriate for tristimulus space which is strictly only for colour specification. However, if predictions of colour constancy using CIELAB are compared with empirical measurements of colour constancy then it is found that the predictions are quite poor in general. The field of colour appearance has been actively researched over the last decade in particular and several advanced colour spaces (e.g. CIECAM02) are now available for predicting colour appearance.

Thirdly, the nonlinear transform of tristimulus values in the CIELAB equations allows the Euclidean distance between two points in the new space to better predict the visual colour difference between the colour stimuli represented by those two

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<sup>1</sup> Slightly different equations are used for certain stimuli. See Chapter 5 for the complete equations.

points. Consequently, the colour difference metric known as  $\Delta E_{ab}^*$  and computed by the equation:

$$\Delta E_{ab}^* = \sqrt{(\Delta L^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2} \quad (1.8)$$

where  $\Delta L^*$ , for example, denotes the difference in  $L^*$  between the two samples, has been used effectively to quantify colour difference in a wide range of industries.

Unfortunately, although CIELAB is more perceptually uniform than XYZ space it is still a long way from being perceptually uniform. Industrial practitioners of colour science would like to be able to apply a single tolerance on the value of  $\Delta E_{ab}^*$  that defines the perceptibility or acceptability boundaries throughout colour space but this is not possible. The last two decades of the twentieth century saw a great deal of research into the development of more effective colour-difference formulae. The CMC formula (named after the Colour Measurement Committee of the Society of Dyers and Colourists) was introduced in 1983 and has been widely used in industry (Clarke, McDonald and Rigg, 1984). However, a new recommendation for colour difference was introduced by the CIE and is known as CIEDE2000 (Luo, Cui and Rigg, 2001). CIEDE2000, like its predecessor CMC, is not in itself a colour space (it computes colour difference starting from differences in CIELAB space) but rather describes a method for combining and weighting the differences that is more complex, and certainly more effective, than simply measuring the Euclidean distance.

Systems that are able to better predict colour difference and colour appearance are currently active areas of research for colour scientists in academia and industry. One of the factors driving research in these areas is the need to be able to effectively communicate colour between image-capture and image-reproduction devices. The proliferation of inexpensive colour capture and display systems, in addition to the increasing commercial use of colour on the internet, requires increased understanding of, and ability to predict, colour difference and colour appearance.

A wide range of readable and informative texts exist for the reader who would like to explore the background and methods of the CIE system in more detail (e.g. McDonald, 1997a; Berns, 2000; Ohta and Robertson, 2005; Schanda, 2007; Hunt and Pointer, 2011).

