# **1 Ecohydraulics: An Introduction**

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# **1.1 Introduction**

It is well established that aquatic ecosystems (streams, rivers, estuaries, lakes, wetlands and marine environments) are structured by the interaction of physical, biological and chemical processes at multiple spatial and temporal scales (Frothingham *et al.*, 2002; Thoms and Parsons, 2002; Dauwalter *et al.*, 2007). The need for interdisciplinary research and collaborative teams to address research questions that span traditional subject boundaries to address these issues has been increasingly recognised (Dollar *et al.*, 2007) and has resulted in the emergence of new 'sub-disciplines' to tackle these questions (Hannah *et al.*, 2007). Ecohydraulics is one of these emerging fields of research that has drawn together biologists, ecologists, fluvial geomorphologists, sedimentologists, hydrologists, hydraulic and river engineers and water resource managers to address fundamental research questions that will advance science and key management issues to sustain both natural ecosystems and the demands placed on them by contemporary society. **COPY ATTAGONAL SET AND THE SET AND THE SET AND ANONGER IN A square considerably the interaction of physical, bio-<br>
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Lotic environments are naturally dynamic, characterised by variable discharge, hydraulic patterns, sediment and nutrient loads and thermal regimes that may change temporally (from seconds to yearly variations) and spatially (from sub-cm within habitat patches to hundreds of  $km^2$  at the drainage basin scale). This complexity produces a variety of geomorphological features and habitats that sustain the diverse ecological communities recorded in fresh, saline and marine waters. Aquatic organisms, ranging from micro-algae and macrophytes to macroinvertebrates, fish, amphibians, reptiles, birds and mammals, have evolved adaptations to persist and thrive in hydraulically dynamic environments (Lytle and Poff, 2004; Townsend, 2006; Folkard and Gascoigne, 2009; Nikora, 2010). However, anthropogenic impacts on aquatic systems have been widespread and probably most marked on riverine systems. A report by the World Commission on Dams (2000) and a recent review by Kingsford (2011) suggested that modification of the river flow regime as a result of regulation by creating barriers, impoundment and overabstraction, the spread of invasive species, overharvesting and the effects of water pollution were the main threats to the world's rivers and wetlands and these effects could be compounded by future climate change.

The impacts of dam construction, river regulation and channelisation have significantly reduced the natural variability of the flow regime and channel morphology. This results in degradation, fragmentation and loss of habitat structure and availability, with subsequent reductions in aquatic biodiversity (Vörösmarty et al., 2010). Recognition of the long history, widespread and varied extent of human impacts on river systems, coupled with an increase in environmental awareness has led to the development of a range of approaches to minimise and mitigate their impacts. These include river restoration and rehabilitation techniques to restore a more natural channel morphology (e.g. Brookes and Shields Jr, 1996; de Waal *et al.*, 1998; Darby and Sear, 2008), methods to define ways to reduce or mitigate the impact of abstractions and river regulation through the definition and application of instream

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or environmental flows (Dyson *et al.*, 2003; Acreman and Dunbar, 2004; Annear *et al.*, 2004; Acreman *et al.*, 2008), and the design of screens and fish passes to divert aquatic biota from hazardous areas (e.g. abstraction points) and to enable them to migrate past physical barriers, especially, but not solely associated with dams (Kemp, 2012).

Key legislative drivers have been introduced to compel regulatory authorities and agencies to manage and mitigate historic and contemporary anthropogenic impacts and, where appropriate, undertake restoration measures. The EU Water Framework Directive (Council of the European Communities, 2000) requires the achievement of 'good ecological status' in all water bodies across EU member states by 2015 (European Commission, 2012). This, in turn, has required the development of methods and techniques to assess the current status of chemical and biological water quality (Achleitner *et al.*, 2005), hydromorphology and flow regime variability, and identify ways of mitigating impacts and restoring river channels and flow regimes where they are an impediment to the improvement of river health (Acreman and Ferguson, 2010). Similar developments have occurred in North America with the release of the United States Environmental Protection Agency guidelines (US EPA, 2006). In Australia, provision of water for environmental flows has been driven by a combination of national policy agreements including the National Water Initiative in 2004, national and state level legislation and governmentfunded initiatives to buy back water entitlements from water users including the 'Water for the Future' programme (Le Quesne *et al.*, 2010). Important lessons can be learned from South Africa, where implementation of the National Water Act of 1998 is recognised as one of the most ambitious pieces of water legislation to protect domestic human needs and environmental flows on an equal footing ahead of economic uses. However, Pollard and du Toit (2008) suggest that overly complicated environmental flow recommendations have inhibited their implementation. This provides a key message for ecohydraulic studies aimed at providing environmental flow or indeed other types of river management recommendations (e.g., river restoration) worldwide.

## **1.2 The emergence of ecohydraulics**

During the 1970s and 1980s it was common for multidisciplinary teams of researchers and consultants to undertake pure and/or applied river science projects and to present results collected as part of the same study independently to stakeholders and regulatory/management authorities, each from the perspective of their own disciplinary background. More recently, there has been a shift towards greater interdisciplinarity, with teams of scientists, engineers, water resource and river managers and social scientists working together in collaborative teams towards clearly defined common goals (Porter and Rafols, 2009). Developments in river science reflect this overall pattern, with the emergence of ecohydrology at the interface of hydrology and ecology (Dunbar and Acreman, 2001; Hannah *et al.*, 2004; Wood *et al.*, 2007) and hydromorphology, which reflects the interaction of the channel morphology and flow regime (hydrology and hydraulics) in creating 'physical habitat' (Maddock, 1999; Orr *et al.*, 2008; Vaughan *et al.*, 2009).

Like 'ecohydrology', 'ecohydraulics' has also developed at the permeable interface of traditional disciplines, combining the study of the hydraulic properties and processes associated with moving water typical of hydraulic engineering and geomorphology and their influence on aquatic ecology and biology (Vogel, 1996; Nestler *et al.*, 2007). Ecohydraulics has been described as a subdiscipline of ecohydrology (Wood *et al.*, 2007) although it has become increasingly distinct in recent years (Rice *et al.*, 2010). Hydraulic engineers have been engaged with design criteria for fish passage and screening facilities at dams for many years. Recognition of the need to solve river management problems like these by adopting an interdisciplinary approach has been the driver for the development of ecohydraulics. Interdisciplinary research that incorporates the expertise of hydrologists, fluvial geomorphologists, engineers, biologists and ecologists has begun to facilitate the integration of the collective expertise to provide holistic management solutions. Ecohydraulics has played a critical role in the development of methods to assess and define environmental flows (Statzner *et al.*, 1988). Although pre-dating the use of the term 'ecohydraulics', early approaches, such as the Physical Habitat Simulation System (PHABSIM) in the 1980s and 1990s, were widely applied (Gore *et al.*, 2001) but often criticised due to an over-reliance on simple hydraulic models and a lack of ecological relevance because of the way that habitat suitability was defined and calculated (Lancaster and Downes, 2010; Shenton *et al.*, 2012). State-ofthe-art developments associated with ecohydraulics are attempting to address these specific gaps between physical scientists (hydraulic engineers, hydrologists and fluvial geomorphologists) and biological scientists (e.g. aquatic biologists and ecologists) by integrating hydraulic and biological tools to analyse and predict ecological responses

to hydrological and hydraulic variability and change (Lamouroux *et al.* in press). These developments intend to support water resource management and the decisionmaking process by providing ecologically relevant and environmentally sustainable solutions to issues associated with hydropower operations, river restoration and the delineation of environmental flows (Acreman and Ferguson, 2010).

The growing worldwide interest in ecohydraulics can be demonstrated by increasing participation in the international symposia on the subject. The first symposium (then titled the 1st International Symposium on Habitat Hydraulics) was organised in 1994 in Trondheim, Norway by the Foundation for Scientific and Industrial Research (SINTEF), the Norwegian University of Science and Technology (NTNU) and the Norwegian Institute of Nature Research (NINA) with about 50 speakers and 70 delegates. Subsequent symposia in Quebec City (Canada, 1996), Salt Lake City (USA, 1999), Cape Town (South Africa, 2002), Madrid (Spain, 2004), Christchurch (New Zealand, 2007), Concepcion (Chile, 2009), Seoul (South Korea, 2010) and ´ most recently in Vienna (Austria, 2012) have taken the scientific community across the globe, typically leading to more than 200 speakers and approximately 300 delegates at each meeting.

A recent bibliographic survey by Rice *et al.* (2010) indicated that between 1997 and the end of 2009 a total of 146 publications had used the term 'ecohydraulic' or a close variant (eco hydraulic, ecohydraulics or eco-hydraulics) in the title, abstract or keywords (ISI Web of Knowledge, http://wok.mimas.ac.uk/). This meta-analysis indicated greater use of the term 'ecohydraulics' amongst water resources and engineering journals (48%) and geoscience journals (31%) compared to a more limited use in (21%) biological or ecological journals. By the end of 2011 this figure had risen to 211 publications, with 65 papers being published between 2010 and the end of 2011 (Figure 1.1). This suggests a significant increase in the use of the terms more recently, and strongly mirrors the rapid rise in the use of the term 'ecohydrology', which has been used in the title, abstract or as a keyword 635 times since 1997 (186 between 2010 and 2011). However, bibliographic analysis of this nature only identifies those publications that have specifically used one of the terms and there is an extensive unquantified literature centred on ecohydraulics and ecohydrology that has not specifically used these terms.

Porter and Rafols (2009) suggested that interdisciplinary developments in science have been greatest between closely allied disciplines and less well developed and slower for fields with a greater distance between them.



Figure 1.1 Number of peer-reviewed articles using the terms (a) ecohydraulic(s), eco-hydraulic(s) or eco hydraulic(s) and (b) ecohydrology, eco-hydrology or eco hydrology 1997–2012 as listed on Thomson Reuters ISI Web of Knowledge (http://wok.mimas.ac.uk/). Note: WoK data for 2012 compiled on 22/11/2012.

This appears to be the case when comparing developments in ecohydrology and ecohydraulics. Ecohydrology has increasingly been embraced by an interdisciplinary audience and even witnessed the launch of a dedicated journal, *Ecohydrology*, in 2008 (Smettem, 2008), drawing contributions from across physical, biological and social sciences as well as engineering and water resources management. In contrast, publications explicitly referring to 'ecohydraulics' predominately appeared in water resources, geosciences and engineering journals and the affiliation of the primary authors remains firmly within engineering and geosciences departments and research institutes. However, the greatest number of papers has appeared in the interdisciplinary journal *River Research and Applications* (17 papers since 2003). This figure includes five out of ten papers within a special issue devoted to ecohydraulics in 2010 (Rice *et al.*, 2010) and two out of nine papers within a special issue devoted to 'Fish passage: an ecohydraulics approach' in 2012 (Kemp, 2012), and clearly demonstrates that many authors do not routinely use the term 'ecohydraulics'. Biologists have been investigating organism responses to their abiotic environments, including the role of fluid dynamics on aquatic communities, for decades and well before the term 'ecohydraulics' was coined. For

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example, from an environmental flow perspective, biological scientists have been involved with determining the relationship between fish (and other biota) and hydraulics since at least the 1970s (e.g. Bovee and Cochnauer, 1978). What this bibliographic analysis highlights is that geoscientists and engineers have more readily adopted the terms than colleagues in biology and ecology.

The dominance of physical scientists and engineers within some studies, many of them using modelling approaches, has been highlighted as a potential weakness of some research. It is argued they rely on faulty assumptions and lack any ecological or biological reality due to inadequate consideration of biological interactions between organisms (inter- or intra-specific), or natural population dynamics (Lancaster and Downes, 2010; Shenton *et al.*, 2012). However, these criticisms have been contested and there is growing evidence that interdisciplinarity is being embraced more widely (Lamouroux *et al.*, 2010; Lamouroux *et al.*, Lamouroux *et al.*, in press). This issue is discussed further in the concluding chapter of this volume.

#### **1.3 Scope and organisation of this book**

The aim of this research-level edited volume is to provide the first major text to focus on ecohydraulics. It is comprised of chapters reflecting the range and scope of research being undertaken in this arena (spanning engineering, geosciences, water resources, biology, ecology and interdisciplinary collaborations). Individual chapter authors have provided overviews of cutting-edge research and reviews of the current state of the art in ecohydraulics. In particular, authors have been encouraged to demonstrate how their work has been informed by and is influencing the on-going development of ecohydraulics research. The contributions use case study examples from across the globe, highlighting key methodological developments and demonstrating the real-world application of ecohydraulic theory and practice in relation to a variety of organisms ranging from riparian vegetation and instream algae, macrophytes, macroinvertebrates and fish to birds and amphibians. The chapters reflect a spectrum of research being undertaken within this rapidly developing field and examine the interactions between hydraulics, hydrology, fluvial geomorphology and aquatic ecology on a range of spatial (individual organism in a habitat patch to catchment) and temporal scales.

The book is structured into four parts: Part One considers the range and type of methods and approaches

used in ecohydraulics research, with a particular focus on aquatic habitat modelling; Part Two considers a range of species–habitat relationships in riverine and riparian habitats; Part Three consists of detailed ecohydraulics case studies that have a clear management application, mostly, but not exclusively, relating to environmental flow determination, fish passage design, river channel and habitat restoration and ecosystem assessment. The final chapter (Part Four) aims to draw together the work contained in the book to outline key research themes and challenges in ecohydraulics and discuss future goals and directions. A number of chapters involve methods, species–habitat relationships and case studies and therefore could have been located in more than one part of the book. The final decision regarding which part to place them in was in some cases clear-cut and in others fairly arbitrary.

We realise that the coverage provided in this volume is not complete and are conscious that the chapters are almost exclusively centred on freshwater, riverine ecosystems. Indeed there has been a considerable volume of research centred on marine (e.g. Volkenborn *et al.*, 2010), estuarine (e.g. Yang *et al.*, 2012) and lentic (lake) ecosystems (e.g. Righetti and Lucarelli, 2010), where equally challenging and exciting ecohydraulic research questions are being addressed. Their exclusion is driven by a desire to keep this book within a manageable size and scope rather than a view that these other parts of the natural environment are somehow less important than riverine ecosystems.

Research currently being undertaken in the arena of ecohydraulics is developing rapidly and is becoming increasingly interdisciplinary, drawing on a range of academic and practitioner traditions and addressing realworld problems. As this interdisciplinary science matures there is a growing demand from river managers and end users to be involved not just at the inception and conclusion, but throughout the studies to enhance the possibility that any management recommendations can be implemented successfully. The occurrence of this would signal a move from interdisciplinarity (between traditional disciplines) to 'transdisciplinarity' (that also engages with managers and end users during the research). The editors hope that the realisation of this development will be one mark of this book's success.

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