Conformational Analysis of Molecules in Excited States

Methods in Stereochemical Analysis

Series Editor

Alan P. Marchand, Denton, Texas, USA

Advisory Board

A. Greenberg, Charlotte, North Carolina, USA

I. Hargittai, Budapest, Hungary

A. R. Katritzky, Gainesville, Florida, USA

J. F. Liebman, Baltimore, Maryland, USA

E. Lippmaa, Tallinn, Estonia

L. A. Paquette, Columbus, Ohio, USA

P. von R. Schleyer, Athens, Georgia, USA

S. Sternhell, Sydney, Australia

Y. Takeuchi, Tokyo, Japan

F. Wehrli, Philadelphia, Pennsylvania, USA

D. H. Williams, Cambridge, UK

N. S. Zefirov, Moscow, Russia

Other Books in the Series:

Eiji Ōsawa and Osamu Yonemitsu

Carbocyclic Cage Compounds: Chemistry and Applications

Janet S. Splitter and Frantisek Turecek (editors)

Applications of Mass Spectrometry to Organic Stereochemistry

William R. Croasmun and Robert M. K. Carlson (editors)

Two-Dimensional NMR Spectroscopy: Applications for Chemists and Biochemists. Second Edition

Jenny P. Glusker with Mitchell Lewis and Miriam Rossi

Crystal Structure Analysis for Chemists and Biologists

Kalevi Pihlaja and Erich Kleinpeter

Carbon-13 NMR Chemical Shifts in Structural and Stereochemical Analysis

Louis D. Quin and John G. Verkade (editors)

Phosphorus-31 NMR Spectral Properties in Compound Characterization and Structural Analysis

Eusebio Juaristio (editor)

Conformational Behavior of Six-Membered Rings: Analysis, Dynamics and Stereoelectronic Effects

Motohiro Nishio, Minoru Hirota, and Yoji Umezawa

The CH/π Interaction: Evidence, Nature, and Consequences

David A. Lightner and Jerome E. Gurst

Organic Conformational Analysis and Stereochemistry from Circular Dichroism Spectroscopy

David Neuhaus and Michael Williamson

The Nuclear Overhauser Effect in Structural and Conformational Analysis Second Edition

Conformational Analysis of Molecules in Excited States

Edited by

Jacek Waluk



This book is printed on acid-free paper. \bigcirc

Copyright © 2000 by WILEY-VCH, Inc. All rights reserved.

Published simultaneously in Canada.

No part of this publication may be reproduced, stored in a retrieval system or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, scanning or otherwise, except as permitted under Sections 107 or 108 of the 1976 United States Copyright Act, without either the prior written permission of the Publisher, or authorization through payment of the appropriate per-copy fee to the Copyright Clearance Center, 222 Rosewood Drive, Danvers, MA 01923, (978) 750-8400, fax (978) 750-4744. Requests to the Publisher for permission should be addressed to the Permissions Department, John Wiley & Sons, Inc., 605 Third Avenue, New York, NY 10158-0012, (212) 850-6011, fax (212) 850-6008, E-Mail: PERMREQ@WILEY.COM.

For ordering and customer service, call 1-800-CALL-WILEY.

Library of Congress Cataloging-in-Publication Data:

Conformational analysis of molecules in excited states / edited by Jacek Waluk.

p. cm.— (Methods in stereochemical analysis) Includes bibliographical references and index.

ISBN 0-471-29707-0 (alk. paper)

1. Excited state chemistry. 2. Molecular structure. I. Waluk, Jacek, 1952- II. Series.

QD461.5.C66 2000 541.2'2 - dc21

99-049632

Printed in the United States of America.

10 9 8 7 6 5 4 3 2 1

Contents

хi

xv

Preface

Contributors

1.	Conformational Changes Accompanying Intramolecular Excited State Electron Transfer 1				
	Wolfgang Rettig and Michael Maus				
	 Introduction 1 1.1 Twisting of Amino Groups 2 1.2 Biaromatic Compounds 3 1.3 Compounds with Several Flexible Bonds: Stilbenes, Polyenes, Cyanines 4 				
	 2. Basic Principles 4 2.1 Mesomeric Interaction 4 2.2 Self-Decoupling Biradicaloid Interaction with the Ground State 5 2.3 Interaction with Locally Excited States 6 2.4 Steric Interaction 6 2.5 Pyramidalization at the Amino Nitrogen 6 2.6 Rehybridization in Nitrogen-Containing Acceptor Groups 8 				
	 3. Acceptor-Substituted Anilines: Evidence for Excited-State Twisting in DMABN and Derivatives 8 3.1 Pretwisted and Planarized Model Compounds 11 3.2 The Dynamic Approach 14 3.3 The Emission Transition Moment 15 3.4 Open Questions 16 				
	 4. Aryl–Aryl Systems 17 4.1 From Biphenyl to Donor–Acceptor Biaryls 18 4.2 Tools for a Conformational Analysis Using Optical Spectroscopy 22 4.3 Analysis of Different Charge Transfer Biaryl Compounds 27 				
	5. Concluding Remarks 49				
	${f v}$				

vi CONTENTS

2.	Conformational Aspects of Intra	- and Intermolecular
	Excited-State Proton Transfer	57

Jacek Waluk

- 2. The Never-Ending Story of 7-Azaindole and Its Relatives 60
 - 2.1 Excited State Double-Proton Transfer (ESPDT) in 7-Azaindole Dimers 60
 - 2.2 7-Azaindole and 1-Azacarbazole: Similarities and Differences 66
 - 2.3 Excited-State Double Proton Transfer in Alcohol and Water Complexes of 7-Azaindole 66
 - 2.4 Excited-State Double-Proton Transfer in 2-(2'-Pyridyl)Indoles, 1H-pyrrolo[3,2-*h*]Quinoline and Related Structures 71
- 3. 7-Hydroxyquinoline: The Case of Proton Relay 74
- 4. Rotational Isomerism and Proton Transfer 78
 - 4.1 Salicylic Acid and Its Derivatives 78
 - 4.2 2-(2'-Hydroxyphenyl)Benzazoles 83
 - 4.3 2-(2'-Hydroxyphenyl)Benzotriazoles 85
- 5. Photochromic and Thermochromic Substances 86
 - 5.1 Salicylideneanilines 86
 - 5.2 Dinitrobenzylpyridines and Derivatives 88
- 6. Solvent Control of the Excited-State Proton Transfer 90
 - 6.1 Solvent Interference with the Excited-State Intramolecular Proton Transfer 90
 - 6.2 Solvent-Assisted Excited-State Proton Transfer 93
 - 6.3 Non-specific Solvent Effects 96
 - 6.4 Excited-State Proton Transfer in Clusters 98
- 7. Concluding Remarks 98

3. The Role of Solute-Solvent Friction in Large-Amplitude Motions 113

David H. Waldeck

- 1. Introduction 113
- 2. Rotational Relaxation 115
 - 2.1 Continuum Models for the Friction 118
 - 2.2 Molecular Models for the Friction 125
 - 2.3 Experimental Studies of Solute–Solvent Frictional Coupling 128

CONTENTS vii

	3.	Orientational Relaxation of Bimolecular Complexes 3.1 Theoretical Models 137 3.2 Experimental Examples 142
	4.	Isomerization and the Importance of Frictional Coupling 4.1 Models for the Rate Constant 4.2 Identifying an Intrinsic Barrier 4.3 Modeling the Friction 4.4 The Importance of Other Degrees of Freedom 4.5 Barrierless Reactions 4.6 4.7 Reactions 4.7 Reactions 4.8 Reactions 4.9 Reactions 4.9 Reactions 4.0 Reactions 4.1 Reactions 4.1 Reactions 4.2 Reactions 4.3 Reactions 4.4 Reactions 4.5 Reactions 4.6 Reactions 4.7 Reactions 4.7 Reactions 4.8 Reactions 4.8 Reactions 4.9 Reactions 4.0 Reactio
	5.	Conclusion 164
4.		ructural Aspects of Exciplex Formation 177
	Fγ	red Brouwer
	1.	Exciplexes and Other Excited-State Complexes 177
	2.	Formation and Decay of Exciplexes 181 2.1 Formation of Exciplexes 181 2.2 Rates of Electron Transfer Quenching 184 2.3 Fluorescence Solvatochromic Shift 190 2.4 Decay of Exciplexes 192
	3.	Electronic and Geometric Structure of Exciplexes 204 3.1 Basic Considerations 204 3.2 Electronic Structure of Exciplexes 205 3.3 Singlet vs. Triplet Exciplexes 208 3.4 Exciplex Geometry 210 3.5 Exciplex Formation in Supersonic Jets 212 3.6 The Solvent-Separated Ion Pair: What Is It? 216
	4.	Intramolecular Exciplexes 217 4.1 Photoinduced Charge Separation in Linked Electron Donor-Acceptor Systems 217 4.2 Intramolecular Exciplexes in Isolated Molecules 220 4.3 Conformational Changes Following Intramolecular Charge Separation in Solution 223
5.	C	onformational Aspects of Organic Photochemistry 237
-•		iampiero Bartocci, Anna Spalletti, and Ugo Mazzucato
		Introduction 237 1.1 Internal Rotation and Conformational Equilibria 237 1.2 Restricted Rotation About $sp^2 - sp^2$ Carbon—Carbon Single Bonds 237

viii CONTENTS

2.	Methods of Investigation 239 2.1 Experimental Evidence of Ground-State Rotamers 239 2.2 Computational Techniques 241 2.3 Methods Based on Fluorimetry 242 2.4 Evidences of Rotamer Interconversion in the Excited State (Non-NEER Behavior) 252
3.	 Typical Examples of Rotamerism in some Selected Series of Olefins 254 3.1 Two-Component Systems: The Case of <i>trans</i>-Anthryl Derivatives 255 3.2 Three-Component Systems: The Case of <i>trans</i>-1-(2-Naphthyl),2-(6'-Quinolyl)Ethene 267 3.3 Rotamerism in α, ω-Diarylpolyenes 273
4.	Conformational Control of Photochemistry 278 4.1 Effect on the Photoreaction Quantum Yield 278 4.2 Effect on the Direction of Photoreaction (Multiway Cyclization of <i>cis</i> Isomers) 284
5.	Concluding Remarks 291
Pr M	alculations of Excited-State Conformational coperties 297 assimo Olivucci, Michael A. Robb, ad Fernando Bernardi
1.	Introduction 297
2.	The Case of Buta-1,3-diene 300 2.1 The Structure of the S ₁ Buta-1,3-diene Energy Surface 307 2.2 Conical Intersection Conformers and Reaction Selectivity 311 2.3 Excited-State Conformations and Stereoselectivity of the Photochemical s-cis Buta-1,3-diene Ring Closure 315 2.4 The Nonstereospecific Ring Opening of Cyclobutene 319 2.5 Ultrafast Photochemistry of Buta-1,3-diene 319 2.6 Buta-1,3-diene Triplet Conformers and Triplet Photochemistry 320
3.	Methods for Excited-State Computations 321 3.1 The Potential Energy Surface Computation: The CAS–SCF Method 322 3.2 Search and Computation of Excited-State Reaction Paths 326
4.	Excited-State Conformations of Organic Chromophores 331 4.1 Linear Conjugated Hydrocarbons (Polyenes and Polyenyl Radicals) 333

6.

CONTENTS ix

4.2 Adiabatic vs. Nonadiabatic Paths and Photochemical Stereoselectivity 336

- 4.3 Photochemical Chemoselectivity and Regioselectivity: The Case of *Z*-hexa-1,3,5-triene 344
- 4.4 Cyclic Conjugated Hydrocarbons 346
- 4.5 Conformational Effects of Conjugated Heteroatoms: Protonated Schiff Bases 348
- 4.6 Conformational Effects of Conjugated Heteroatoms: α , β -Enones 350
- 4.7 $\pi vs \sigma$ Conjugation: Oligosilanes 356
- 5. Conclusions 361

Index 367

Preface

The last few decades have witnessed an enormous development in all branches of science devoted to studying molecules in excited states. Huge progress in laser technology has opened up completely new possibilities in both temporal and spectral domains. The achievement of femtosecond time resolution makes it possible to monitor the elementary processes that occur immediately after absorption of a photon. The use of tunable, narrowband lasers provides a means to selectively excite and follow the fate of a single molecule. Traditional divisions into separate areas of research, such as photochemistry and photophysics, are no longer valid. The same becomes true for the experimental techniques, which are getting more and more "hyphenated."

The progress in computational methods is equally impressive. Excited states of what the quantum chemists used to call "large" molecules (the size of naphthalene) can now be calculated by very sophisticated *ab initio* procedures. The calculations for yet larger molecules are limited to semiempirical methods. However, the software required for such computations is now available in user-friendly versions and can easily be installed on an average personal computer. Moreover, the development of molecular dynamics techniques makes it possible to study conformational changes in really huge molecules, such as proteins.

This stunning speed of the outgrowth of experiment and theory makes it very difficult, even for a person directly working with excited states, to keep track of all important publications, novelties and trends. Therefore, the idea of this book is to present an overview of a variety of important works performed in this field. The focus is on the conformational aspects of molecules in excited states. Obviously, a subject like that cannot be exhausted in just one volume. The intention was rather to expose the reader to the multitude of topics covered by this area. In preparing particular chapters, the authors were requested to follow two guiding principles: (i) to present the main issues related to their field of investigation and (ii) to describe the methods used to detect and study the excited-state species.

In choosing the topics, the idea was to present problems that are being heavily discussed and for which definitive solutions are still in the making. A typical example of such an issue, which is discussed in Chapter 1, is provided by various models that are put forward to explain the nature of the dual fluorescence observed in dimethylaminobenzonitrile and derivatives.

In the vast literature dealing with excited states, one can distinguish many papers that revolve about common subjects, such as (i) conformation changes accompanying photoinduced electron transfer; (ii) photochromism; (iii) photoisomerization, often involving a large-amplitude motion; (iv) intramolecular and intermolecular

xii PREFACE

aspects of excited-state proton transfer; (v) photodissociation; and (vi) elementary photophysical and photochemical processes in living systems. Most of these subjects have been covered in the present volume, with the exception of photochromism (mentioned briefly in Chapter 2), on which separate books have been published recently. Photobiology was also not included explicitly, although many of the systems discussed in this volume serve as models in the studies of important photoprocesses occurring in living organisms.

The first chapter describes excited-state conformational changes that occur in molecules consisting of an electron donor and acceptor, linked by a single bond. Photoexcitation of such systems may lead to a charge transfer state for which the angle between the planes of the donor and the acceptor can be very different than in the ground state. This is a result of the competition between two factors, acting in opposite directions. On one hand, flattening of the molecule can be energetically advantageous because of increasing conjugation. On the other hand, twisting towards a fully perpendicular conformation leads to an increase of the dipole moment and is therefore helped by a polar solvent, which can further stabilize such a structure. As a result, the final conformation may be very sensitive to the environment. For the same molecule, flattening may occur in nonpolar solvents, while twisting may prevail in polar ones.

In Chapter 2, conformational aspects of excited-state proton transfer are discussed. These are of particular importance in systems in which not one, but two or more, protons are moving within the short lifetime of an excited state. In such cases, the reaction coordinate is often dominated by terms not necessarily related to proton motion, but rather by those that depict the spatial rearrangement (e.g., motion of an alcohol molecule around the excited chromophore, flattening of a dimeric structure, or a mutual rotation of proton donor and acceptor groups).

Chapter 3 describes an important, yet still relatively little-known, problem: the role played by friction between the solute and solvent molecules in chemical reactions, in particular those involving large-amplitude motion. Various theoretical models of friction are presented and compared with the experimental data. Modeling the friction, especially its frequency and spatial dependence, is a formidable task. Fortunately, it becomes feasible with the development of ultrafast spectral techniques and computational procedures.

Chapter 4 is devoted to exciplexes and other excited-state complexes. Mechanisms of their formation and decay are discussed, as well as the geometric and electronic structure. This chapter also contains a brief introduction to the Marcus theory of electron transfer—in particular, to the notion of "inverted region," a concept that is able to explain a phenomenon rather unusual for an ordinary chemist—a decrease of the reaction rate with the increasing driving force for the process. Another uncommon process presented in this chapter is the "harpooning mechanism," in which the photoinduced electron transfer is a prerequisite for a conformation change. Interestingly, this represents, in a way, a negative of the mechanism described in Chapter 2, by which a conformational process prepares the stage for a proton transfer reaction.

PREFACE xiii

Chapter 5 is devoted to one of the basic problems in organic photochemistry: To what extent does the ground-state distribution of different conformers influence the outcome of a photochemical reaction? Experimental and theoretical procedures are described that allow one to determine the characteristics of each of the rotamers present both in the ground and excited states. The authors start from the classical "NEER principle," which postulates nonequilibration of excited rotamers. A direct consequence of this rule is that the products of a photochemical transformation are determined by the ground-state equilibria. Systems that obey the NEER principle are presented along with those that do not.

The NEER principle is also critically discussed, extended, and reformulated in Chapter 6, which is devoted to theoretical studies of excited-state conformational properties. The authors show that the ground-state distribution of conformers determines the accessibility of excited-state decay channels, which, in turn, is crucial for the fate of an excited molecule. A fundamental role in the photophysics is played by the geometry of conical intersections—extremely efficient channels for photochemistry and radiationless deactivation. It is remarkable how well the position of the conical intersection can be calculated, nowadays even for large molecules.

The structural and kinetic aspects of the excited state are closely related. In this volume, the emphasis is rather on the former, although in many places the latter also receive due attention. The book is not intended to describe in detail the experimental and theoretical techniques used for conformational studies. Still, the reader will find descriptions of many experimental approaches in Chapters 1–5, whereas Chapter 6 presents the principles underlying calculations of ground- and excited-state minima, transition states, and conical intersections.

The resulting volume is dedicated to audiences consisting of physical and organic chemists, photobiologists, and material science specialists. It is the hope of the editor that the ideas presented in this book may stimulate further activity in the fascinating area of conformational studies of excited molecules.

Jacek Waluk

Contributors

GIAMPIERO BARTOCCI. Dipartimento di Chimica, Università di Perugia, 06123 Perugia, ITALY

FERNANDO BERNARDI. Dipartimento di Chimica, Università di Bologna via Selmi n. 2, Bologna I-40126, ITALY

FRED BROUWER. Institute of Molecular Chemistry, Nieuwe Achtergracht 129, 1018 WS Amsterdam, NETHERLANDS

MICHAEL MAUS. Institute for Physical and Theoretical Chemistry, Humboldt University Berlin, Bunsenstr. 1, D–10117 Berlin, GERMANY

UGO MAZZUCATO. Dipartimento di Chimica, Università di Perugia, 06123 Perugia, ITALY

MASSIMO OLIVUCCI. Istituto di Chimica Organica, Pian dei Mantellini, Università degli Studi di Siena, I-53100 Siena, ITALY

WOLFGANG RETTIG. Institute for Physical and Theoretical Chemistry, Humboldt University Berlin, Bunsenstr. 1, D–10117 Berlin, GERMANY

MICHAEL A. ROBB. Dept. of Chemistry, King's College, London Strand, London WC2R 2LS, UK

ANNA SPALLETTI. Dipartimento di Chimica, Università di Perugia, 06123 Perugia, ITALY

DAVID H. WALDECK. Department of Chemistry, University of Pittsburgh, Pittsburgh, PA 15260

JACEK WALUK. Institute of Physical Chemistry, Polish Academy of Sciences, Kasprzaka 44, 01-224 Warsaw, POLAND